

Appendix

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Medicaid Behavioral Health Glossary: Key Terms and Concepts

Care Continuum: An integrated system of care that guides and tracks patients over time through a comprehensive array of health services spanning all levels of care.¹

Community-based care: Healthcare provided in the home or another community setting and that typically allows the person to stay in their home rather than moving them to a facility to receive care. Examples include home health aides, case management services, and personal care services.²

Disproportionate Share Hospital (DSH) payments: Payments required by federal law from state Medicaid programs to qualifying hospitals that serve a large number of Medicaid and uninsured individuals.³

Inpatient Treatment: Healthcare provided upon admission to a facility, such as a hospital. Typically treats more severe diagnoses, provides 24-hour medical and emotional support, and involves the patient staying in the facility for an extended period of time.

Institution of Mental Disease: Hospital, nursing facility, or other institution of more than 16 beds, that is primarily engaged in providing diagnosis, treatment, or care of persons with mental diseases, including medical attention, nursing care, and related services.⁴

Institutional Care: Healthcare provided in an institutional setting such as a nursing facility, mental health institution, or an intermediate care facility for individuals with intellectual disability.

Medicaid Managed Care: Delivery system for Medicaid covered services by health plans that accept a set capitated payment for these services through a contract with the state Medicaid agency.⁵

Olmstead case: 1999 Supreme Court decision that found that the unjustified institutionalization of people with disabilities is illegal discrimination. Requires states to eliminate unnecessary segregation and ensure that persons with disabilities receive services in the most integrated setting appropriate to their needs.⁶

Outpatient Treatment: Healthcare provided in a part-time setting that allows the patient to continue their day-to-day activities outside of treatment. Typically allows the patient to maintain a more normal daily routine and relies more heavily on social circle support.

Section 1115 Demonstration Waiver: Authority by which HHS Secretary can allow states to test new approaches in Medicaid that differ from what is required by federal statute.⁷

Serious Emotional Disturbance: Someone under the age of 18 with a diagnosable mental, behavioral, or emotional disorder in the past year, which resulted in functional impairment that substantially interferes with or limits their role or functioning in family, school, or community activities.⁸

Serious Mental Illness: Someone over the age of 18 with a diagnosable mental, behavior, or emotional disorder that causes serious functional impairment that substantially interferes with or limits one or more major life activities.⁹

Substance Use Disorder: Recurring use of alcohol or drugs that causes significant clinical and functional impairment.¹⁰

SUPPORT Act: Federal law partially lifting the IMD payment exclusion by allowing states to use federal Medicaid funds for nonelderly adults receiving IMD SUD services up to 30 days a year, from October 2019 through September 2023.¹¹

Appendix Table 1: Medicaid Beneficiaries Aged 21-64 Receiving SUD and/or Mental Health Services - Inpatient vs. Outpatient Care, FY2013

State	Enrollees Receiving Only SUD Services:				Enrollees Receiving Only Mental Health Services				Enrollees Receiving Both SUD and Mental Health Services:				Total Enrollees Receiving Mental Health or SUD Services
	Total	Only Inpatient Care	Only Outpatient Care	Both Inpatient and Outpatient Care	Total	Only Inpatient Care	Only Outpatient Care	Both Inpatient and Outpatient Care	Total	Only Inpatient Care	Only Outpatient Care	Both Inpatient and Outpatient Care	
National	989,200	17%	76%	7%	4,687,300	4%	86%	10%	1,134,900	5%	53%	43%	6,839,800
Alabama	19,300	16%	73%	11%	48,500	8%	79%	13%	21,500	6%	45%	49%	89,300
Alaska	3,100	3%	94%	3%	9,300	2%	92%	5%	2,700	0%	85%	15%	15,000
Arizona	25,100	16%	76%	8%	113,000	3%	88%	9%	25,600	3%	55%	41%	163,800
Arkansas	6,200	2%	95%	3%	41,700	1%	90%	9%	6,600	0%	80%	20%	54,500
California	52,300	5%	92%	3%	473,200	1%	94%	5%	42,500	0%	82%	18%	568,100
Colorado	FY2013 data not available												
Connecticut	16,700	17%	77%	6%	87,600	3%	86%	11%	21,500	4%	53%	43%	125,700
Delaware	5,700	16%	79%	5%	22,100	5%	86%	9%	5,700	7%	44%	49%	33,400
District of Columbia	9,000	12%	82%	6%	21,100	2%	90%	8%	6,800	1%	62%	37%	36,900
Florida	50,000	22%	69%	9%	203,900	9%	79%	12%	51,900	10%	39%	51%	305,800
Georgia	19,300	28%	63%	9%	102,200	6%	84%	10%	21,300	8%	41%	51%	142,700
Hawaii	5,600	13%	82%	5%	24,600	1%	93%	6%	4,400	2%	61%	36%	34,700
Idaho	3,800	13%	82%	5%	20,900	5%	85%	10%	6,100	5%	51%	44%	30,900
Illinois	44,200	18%	73%	9%	197,900	4%	83%	13%	45,300	4%	43%	53%	287,300
Indiana	21,100	18%	73%	9%	100,400	4%	84%	12%	32,600	4%	52%	45%	154,200
Iowa	6,000	22%	72%	7%	50,500	4%	84%	12%	8,800	5%	49%	47%	65,200
Kansas	1,900	21%	74%	5%	15,300	5%	90%	6%	1,600	6%	56%	38%	18,900
Kentucky	28,400	10%	82%	8%	75,600	5%	86%	9%	34,200	5%	58%	37%	138,200
Louisiana	17,800	13%	81%	6%	77,600	3%	84%	13%	16,400	2%	59%	40%	111,800
Maine	12,000	4%	91%	5%	51,800	2%	91%	8%	23,000	1%	70%	28%	86,900
Maryland	15,600	19%	76%	6%	108,000	3%	87%	10%	17,200	4%	49%	47%	140,900
Massachusetts	26,100	13%	80%	7%	217,900	2%	88%	10%	50,400	2%	51%	47%	294,500
Michigan	49,600	13%	82%	6%	190,700	3%	87%	10%	50,200	3%	64%	33%	290,500
Minnesota	27,400	8%	85%	7%	148,400	1%	90%	8%	47,500	1%	64%	35%	223,400
Mississippi	9,100	29%	63%	9%	50,200	4%	84%	12%	9,600	5%	38%	57%	69,000
Missouri	18,300	8%	86%	5%	102,200	2%	88%	10%	24,600	1%	66%	33%	145,200
Montana	2,000	15%	75%	10%	12,600	4%	86%	10%	3,400	3%	56%	41%	17,800
Nebraska	3,000	20%	73%	7%	22,200	4%	84%	13%	4,300	5%	47%	49%	29,600
Nevada	2,900	28%	66%	7%	15,700	4%	87%	9%	3,600	6%	42%	53%	22,200
New Hampshire	1,800	11%	83%	6%	19,300	3%	90%	8%	4,300	2%	63%	35%	25,500
New Jersey	10,700	17%	78%	6%	82,100	3%	88%	9%	12,700	3%	49%	48%	105,500
New Mexico	5,700	18%	74%	9%	44,500	3%	90%	7%	7,500	3%	55%	43%	57,700
New York	133,300	27%	64%	9%	514,400	6%	80%	14%	162,700	7%	37%	56%	810,400
North Carolina	29,800	17%	76%	7%	115,600	5%	88%	8%	24,500	5%	59%	36%	169,900
North Dakota	1,000	20%	70%	10%	8,100	4%	85%	11%	1,500	7%	47%	47%	10,500
Ohio	82,000	9%	85%	6%	243,000	3%	86%	11%	87,000	2%	59%	38%	412,100
Oklahoma	13,300	18%	75%	7%	68,900	4%	87%	9%	18,400	4%	53%	43%	100,700
Oregon	17,100	7%	87%	6%	67,900	3%	90%	7%	20,500	1%	72%	27%	105,500
Pennsylvania	41,900	22%	73%	5%	133,700	10%	83%	7%	30,900	17%	49%	34%	206,400
Rhode Island	2,200	23%	68%	9%	22,400	3%	86%	12%	3,900	3%	51%	46%	28,500
South Carolina	16,200	20%	73%	7%	53,800	5%	87%	8%	12,500	6%	54%	39%	82,400
South Dakota	1,000	20%	70%	10%	9,800	4%	85%	11%	1,400	0%	50%	50%	12,300
Tennessee	33,200	17%	78%	5%	113,200	6%	86%	8%	35,400	7%	53%	40%	181,600
Texas	27,800	29%	62%	9%	196,400	5%	82%	12%	33,100	7%	40%	53%	257,200
Utah	2,900	17%	76%	7%	31,900	4%	86%	10%	4,100	2%	56%	41%	39,000
Vermont	3,500	17%	80%	3%	24,200	3%	91%	6%	4,400	7%	52%	41%	32,100
Virginia	17,200	34%	58%	8%	81,200	6%	80%	13%	22,800	8%	39%	52%	121,100
Washington	19,000	13%	81%	7%	104,800	3%	91%	6%	25,100	3%	67%	30%	148,900
West Virginia	5,000	34%	56%	10%	48,100	3%	87%	10%	8,700	6%	36%	59%	61,900
Wisconsin	23,700	10%	85%	5%	115,900	3%	90%	8%	26,900	3%	62%	35%	166,500
Wyoming	1,100	18%	73%	9%	5,400	6%	85%	9%	1,200	8%	50%	42%	7,700

NOTES: FY 2013 data for Colorado and Rhode Island are unavailable. RI data is FY 2012. Kansas has only 1 quarter of FY 2013 data available. North Carolina has only 3 quarters of FY 2013 data available. Totals may not sum due to rounding.
SOURCE: KFF analysis of FY2013 Medicaid Statistical Information System.

Appendix Table 2: Key Components of Approved Section 1115 Waivers for the IMD Payment Exclusion as of Oct. 2019

State	Waiver/Program Name	Approval Date	IMD Authority Begins	IMD Authority Expires	SUD Authority	Mental Health Authority	Day Limit	Due Dates	
								Evaluation Findings	Midpoint Assessment Due
Alaska	Alaska Substance Use Disorder and Behavioral Health Program	11/21/2018	1/1/2019	12/31/2023	✓		30-day statewide average	6/30/2025	11/1/2020
California	Drug Medi-Cal Organized Delivery System (DMC-ODS)	8/13/2015	1/1/2016	12/31/2020	✓		30-day statewide average; 90 day maximum	120 days after demonstration	One year prior to the end of demonstration
Delaware	Delaware Diamond State Health Plan	7/31/2019	8/1/2019	12/31/2023	✓		30-day statewide average	6/30/2025	12/31/2021
Illinois	Illinois Behavioral Health Transformation	5/7/2018	7/1/2018	6/30/2023	✓		30-day statewide average	12/31/2025	12/31/2020
Indiana	Healthy Indiana Plan	2/1/2018	2/1/2018	12/31/2020	✓		30-day statewide average	7/31/2022	1/31/2020
Kansas	KanCare	12/18/2018	1/1/2019	12/31/2023	✓		30-day statewide average	6/30/2025	9/30/2022
Kentucky	KY Health (KY Helping to Engage & Achieve Long Term Health)	1/12/2018	1/12/2018	9/30/2023	✓		Not specified	3/30/2025	4/12/2021
Louisiana	Healthy Louisiana OUD/SUD Demonstration	2/1/2018	2/1/2018	12/31/3022	✓		Not specified	6/30/2024	11/16/2020
Massachusetts	MassHealth	10/30/2014	10/30/2014	6/30/2022	✓		90 day maximum	12/31/2022	6/30/2021
Maryland	Maryland HealthChoice	12/22/2016	1/1/2017	12/31/2021	✓		30 day maximum	6/30/2023	Not specified
Michigan	Michigan Pathway to Integration	4/5/2019	4/5/2019	9/30/2024	✓		30-day statewide average	3/30/2026	12/31/2022
Minnesota	Minnesota Substance Use Disorder System Reform	6/28/2019	7/1/2019	6/30/2024	✓		30-day statewide average	12/31/2025	12/31/2022
North Carolina	North Carolina's Medicaid Reform Demonstration	10/19/2018	1/1/2019	10/31/2024	✓		30-day statewide average	5/1/2026	11/1/2021
Nebraska	Nebraska Substance Use Disorder Section 1115 Demonstration	7/9/2019	7/9/2019	6/30/2024	✓		30-day statewide average	12/31/2025	1/1/2022
New Hampshire	New Hampshire SUD Treatment and Recovery Access	7/10/2018	7/10/2018	6/30/2023	✓		30-day statewide average	12/31/2024	3/30/2021
New Jersey	New Jersey FamilyCare Comprehensive Demonstration	10/31/2017	7/1/2017	6/30/2022	✓		30-day statewide average	12/31/2023	Between DYs 7 and 8
New Mexico	Centennial Care 2.0 1115 Medicaid Demonstration	12/14/2018	1/1/2019	12/31/2023	✓		30-day statewide average	7/1/2025	6/1/2022
Ohio	Ohio Section 1115 Demonstration Waiver for Substance Use Disorder Treatment	9/24/2019	10/1/2019	09/30/2024	✓		30-day statewide average	03/30/26	12/31/2021
Pennsylvania	Pennsylvania Medicaid Coverage Former Foster Care Youth From a Different State & SUD Demonstration	6/28/2018	7/1/2018	9/30/2022	✓		30-day statewide average	3/30/2024	10/31/2020
Rhode Island	Rhode Island Comprehensive Demonstration	12/20/2018	1/1/2019	12/31/2023	✓		30-day statewide average	7/1/2025	6/30/2020
Utah	Utah Primary Care Network	10/31/2017	11/1/2017	6/30/2022	✓		Not specified	12/31/2023	Between DYs 17 and 18
Virginia	The Virginia GAP and ARTS Delivery System Transformation	12/15/2016	4/01/2017	12/31/2019	✓		30-day statewide average	7/1/2021	Not specified
Vermont	Vermont Global Commitment to Health	1/1/96	1/1/96	12/31/2021 (SUD) Phase out by 2025 (MH)	✓	✓	30-day statewide average	7/1/2023	12/31/2020
Washington	Washington Medicaid Transformation Project	7/17/2018	7/17/2018	12/31/2021	✓		30-day statewide average	1/30/2022	12/31/2020
Wisconsin	Wisconsin BadgerCare Reform	10/31/2018	10/31/2018	12/31/2023	✓		30-day statewide average	7/1/2025	Not specified
West Virginia	West Virginia Creating a Continuum of Care for Medicaid Enrollees with Substance Use Disorders	10/6/2017	1/1/2018	12/31/2022	✓		30-day statewide average	7/1/2024	Not specified

SOURCE: KFF analysis of Section 1115 waivers for the IMD Payment Exclusion

Appendix Table 3: States Using Medicaid Managed Care “in lieu of” Authority to Fund IMD Services in FY2019 and/or FY2020	
Managed Care States (41 states)	Using “in lieu of” Authority?
TOTAL	35
Arizona	✓
Arkansas	✓
California	
Colorado	✓
Delaware	✓
District of Columbia	✓
Florida	✓
Georgia	
Hawaii	✓
Illinois	✓
Indiana	✓
Iowa	✓
Kansas	
Kentucky	✓
Louisiana	✓
Maryland	
Massachusetts	✓
Michigan	✓
Minnesota	✓
Mississippi [^]	✓
Missouri	✓
Nebraska	✓
Nevada	✓
New Hampshire	✓
New Jersey	✓
New Mexico	✓*
New York	✓
North Carolina [^]	✓
North Dakota	
Ohio	✓
Oregon	✓
Pennsylvania	
Rhode Island	✓
South Carolina	✓
Tennessee	✓
Texas	✓
Utah	✓
Virginia	✓
Washington	✓
West Virginia	✓*
Wisconsin	✓
Non Managed Care States (10 states)	
Alabama	
Alaska	
Connecticut	
Idaho	
Maine	
Montana	
Oklahoma	
South Dakota	
Vermont	
Wyoming	

NOTES: *NM and WV in FY 2019 only. ^MS and NC in FY 2020 only. NC did not have managed care in FY 2019.
SOURCE: KFF 50-state Budget Survey for SFY 2019 and 2020.

Appendix Table 4: Disproportionate Share Hospital (DSH) Payments to Mental Health Treatment Facilities in FY 2018

State	DSH Payments to Mental Health Facilities	Share of National DSH Payments to Mental Health Facilities
Alaska	\$15,110,912.00	0.51%
Arizona	\$28,474,900.00	0.95%
Arkansas	\$805,823.00	0.03%
California	-	0.00%
Colorado	-	0.00%
Connecticut	\$105,573,725.00	3.54%
Delaware	\$5,660,538.00	0.19%
District of Columbia	\$6,545,136.00	0.22%
Florida	\$117,127,905.00	3.93%
Georgia	-	0.00%
Hawaii	-	0.00%
Idaho	-	0.00%
Illinois	\$89,298,594.00	2.99%
Indiana	-	0.00%
Iowa	-	0.00%
Kansas	\$29,367,269.00	0.98%
Kentucky	\$37,443,073.00	1.25%
Louisiana	\$77,613,298.00	2.60%
Maine	\$43,445,330.00	1.46%
Maryland	\$53,692,663.00	1.80%
Massachusetts	-	0.00%
Michigan	\$140,187,859.00	4.70%
Minnesota	\$9,785.00	0.00%
Mississippi	-	0.00%
Missouri	\$207,597,893.00	6.96%
Montana	-	0.00%
Nebraska	\$1,811,337.00	0.06%
Nevada	-	0.00%
New Hampshire	\$36,535,356.00	1.22%
New Jersey	\$357,370,459.00	11.98%
New Mexico	-	0.00%
New York	\$537,750,000.00	18.02%
North Carolina	\$161,840,524.00	5.42%
North Dakota	\$741,360.00	0.02%
Ohio	\$93,432,758.00	3.13%
Oklahoma	\$3,273,248.00	0.11%
Oregon	\$19,975,088.00	0.67%
Pennsylvania	\$294,758,874.00	9.88%
Rhode Island	-	0.00%
South Carolina	\$60,903,051.00	2.04%
South Dakota	\$751,299.00	0.03%
Tennessee	-	0.00%
Texas	\$292,467,199.00	9.80%
Utah	-	0.00%
Vermont	-	0.00%
Virginia	\$7,262,564.00	0.24%
Washington	\$137,239,120.00	4.60%
West Virginia	\$18,864,905.00	0.63%
Wisconsin	-	0.00%
Wyoming	-	0.00%
SOURCE: KFF analysis of form CMS-64 FY 2018 data		

¹ Connie J. Evashwick, “Creating the continuum of care.” *Health matrix* (1989), <https://www.ncbi.nlm.nih.gov/pubmed/10293297>.

² Kaiser Family Foundation, *Key State Policy Choices About Medicaid Home and Community-Based Services* (April 2019), <https://www.kff.org/medicaid/issue-brief/key-state-policy-choices-about-medicaid-home-and-community-based-services/>.

³ CMS, *Medicaid Disproportionate Share Hospital (DSH) Payments*, <https://www.medicaid.gov/medicaid/finance/dsh/index.html>.

⁴ 42 U.S.C. § 1396d (a)(29)(B).

⁵ CMS, *Managed Care*, <https://www.medicaid.gov/medicaid/managed-care/index.html>.

⁶ Kaiser Family Foundation, *Olmstead’s Role in Community Integration for People with Disabilities Under Medicaid: 15 Years After the Supreme Court’s Olmstead Decision* (June, 2014), <https://www.kff.org/medicaid/issue-brief/olmsteads-role-in-community-integration-for-people-with-disabilities-under-medicaid-15-years-after-the-supreme-courts-olmstead-decision/>.

⁷ Kaiser Family Foundation, *Section 1115 Medicaid Demonstration Waivers: The Current Landscape of Approved and Pending Waivers* (February 2019), <https://www.kff.org/medicaid/issue-brief/section-1115-medicaid-demonstration-waivers-the-current-landscape-of-approved-and-pending-waivers/>.

⁸ SAMHSA, *Mental Health and Substance Use Disorders* (April 13, 2019) <https://www.samhsa.gov/find-help/disorders>.

⁹ *Id.*

¹⁰ *Id.*

¹¹ H.R. 6, § 5052 (a)(2) (creating new Social Security Act § 1915 (j)(6)).